## Export LC Cancellation Islamic User Guide Oracle Banking Trade Finance Process Management

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Oracle Banking Trade Finance Process Management - Export LC Cancellation Islamic User Guide Oracle Financial Services Software Limited

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# Contents



## **Oracle Banking Trade Finance Process Management**

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing trade finance transactions.

This document will take you through following activities in OBTFPM:

• To create and handle trade finance transaction.

#### Overview

OBTFPM is a trade finance middle office platform, which enables bank to streamline the trade finance operations. OBTFPM enables the customers to send request for new trade finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

#### **Benefits**

OBTFPM helps banks to manage trade finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all trade finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

#### **Key Features**

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.



## **Export LC Cancellation Islamic**

As part of Conventional Export LC Cancel, System enables the user to Cancel to the LC which had been already issued.

The various stages involved for Export LC Cancel are:

- Receive and verify documents and Input Basic details(Non Online Channel)- Registration stage
- · Upload of related mandatory and non-mandatory documents
- Input/Modify details of Cancel of LC Data Enrichment stage
- Capture remarks for other users to check and act
- Hand off request to back office

The design, development and functionality of the Islamic Export LC Cancel process flow is similar to that of conventional Export LC Cancel process flow.

In the following sections, let's look at the details for export LC cancellation - Islamic process.

This section contains the following topics:

Common Initiation Stage	Registration
Data Enrichment	Exceptions
Multi Level Approval	Reject Approval

### **Common Initiation Stage**

The user can initiate the new export Islamic LC cancellation request from the common Initiate Task screen.

- 1. Using the entitled login credentials, login to the OBTFPM application.
- 2. Click Trade Finance > Initiate Task.

	Initiate Task		( DEFAULTENTITY)	Oracle Banking Trade Finan	ZARTAB02 subham@gmail.com
Rule 🕨	Registration				
Security Management	Process Name	Advising Bank Reference *	Branch *		
Task Management	Export LC Cancellation - Islamic 🔻	PK2ELAC211252002 Q	PK2-Oracle Banking Trade Finan 🔻		
Tasks 🕨					
Trade Finance 🔹					Proceed Clear
Administration					
Bank Guarantee Advise 🕨					
Bank Guarantee					
Common Group Message					
Enquiry					
Export - Documentary Collection					
Export - Documentary Credit					
Import - Documentary Collection					
Import - Documentary Credit					
Initiate Task					
Maintenance 🔹	l				



Provide the details based on the description in the following table:

Field	Description
Process Name	Select the process name to initiate the task.
Advising Bank Reference	Select the advising bank reference.
Branch	Select the branch.

#### **Action Buttons**

Use action buttons based on the description in the following table:

Field	Description
Proceed	Task will get initiated to next logical stage.
Clear	The user can clear the contents update and can input values again.

## Registration

A user can register request for an Islamic Export LC Cancel received at the front desk (as an application received physically/received by mail/fax). During registration user can capture the basic details of the application and upload the related documents of the applicant. On submit of the request, the request will be available for an LC cancellation expert to handle the request in the next stage.

1. Using the entitled login credentials for registration stage, login to the OBTFPM application.

ᅎ FuTura Bank					
Sign In					
User Name *					
SRIDHAR					
Password *					
Sign In					
Cancel					



		Draft Confirmation Pe	ending O	×	Hand-off Failure		o ×	Priority Details		¢ ×
ashboard										
laintenance		Customer Name	Application Date	٩	Branch	Process Name	Stage Name	Branch	Process Name	Stage Name
sles	•	EMR & CO	25-06-2018	G	Bank Futura	NA	Retry HandOf	Bank Futura	NA	Amount Blo
ide Finance		NA	25-06-2018	G				Bank Futura	NA	Amount Blo
		NA	21-06-2018	G						
								004	NA	Loan Applic
			-					-		
		High Value Transactio	ons O	×	SLA Breach Detai	ils	o ×	Priority Summa	ry Cucumber Te	* Ø ×
		140K			Customer Name	SLA Breached	(mins) Prior	Branch P	rocess Name	Stage Name
		100K	1		NA	23474 H	KEERTIV01			
									ucumber Testing	test descrip
		60К	•	GBP	HSBC BANK	26667 M	SHUBHAM			ten oranjo
		20К	•	GBP	HSBC BANK WALL MART		SHUBHAM SHUBHAM			in a start p
				GBP		23495				an orange
		20К		GBP	WALL MART	23495	SHUBHAM		_	

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.

3. Click Trade Finance - Islamic> Export - Documentary Credit> Export LC Cancellation Islamic.

$\equiv$ ORACLE <sup>®</sup>	Dashboard					<b>1</b>	DEFAULTENTITY)	May 5,	Banking Trade Finan		ZARTAB02
Awarting Customer Clarification Business Process	Priority Summary	Please select pro 🔻	% Oversight Corrections	Model Inference Time	High Priority Tasks		Ŧ×	Model 1	ag Performance	Υ	+
Maintenance Completed Tasks			Data Filtered on " All	Data Filtered on * All	Process Reference Numb	er Branc	h Process Name		No data to display		
Free Tasks		ne Stage Name No of High Priority I	60		PK2GADC000012104	PK2	Guarantee SBLC Ad	F			
Hold Tasks	No data to display.	_	40	sp 40	PK2GADC000012104	PK2	Guarantee SBLC Ad				
My Tasks	Page 1 (0 of 0 iter	ms) K < 1 > H	20	S 20	Page 1 of 10 (1-2 of 2	(items)	к < > я				
Other User tasks			0	0		o nemo)					
				Transaction Bucke	et 🝸 🍸						
Search Supervisor Tasks					0 0 Filtereldhfiltered						
rade Finance	Pending Exception App	proval (0)		Draft Confirmation Pending	T.	- 11 and	off Failure		¥ ×		
ade Finance - Islamic 🔻	Pending Exception App	proval (U)		Draft Confirmation Pending	Ŧ	Hand	off Failure		τ		
Bank Guarantee Advise	1			Process Reference Number	Customer Id Applica	at Pro	ess Reference Numbe	r Branch	Process Name		
Bank Guarantee	1			PK2ILCI000011343	001044 16-03-20	2 <u>PK1G</u>	TEI000012103	PK2	Guarantee Issuance		
Issuance Export - Documentary				Page 1 of 1 (1 of 1 items	) K < 1 > >	PK2G	TEA000011983	PK2	Guarantee Amendrr		
Collection Export - Documentary	SLA Status Summary		<b>T</b> ×	<b>T T</b>		Page	1 of 10 (1-2 of 20	) items) 🛛 🖗	к		
Credit Export LC Update				0 0		T 1					
Drawings - Islamic				Filtered		0 0					
Export LC Advise - Islamic						Filtered	hfiltered				
Export LC Amendment - Islamic		No data to display									
Export LC Amendment Beneficiary Consent -											
Islamic Export LC Cancellation -											
Islamic											
Export LC Closure - Islamic											

The registration stage has two sections Application Details and LC Details. Let's look at the details of registration screens below:



### **Application Details**

$\equiv$ ORACLE <sup>°</sup>					(DEFAULTENTITY)	Oracle Banking Trade Finan 🌲	ZARTAB subham@gmail.c
Export LC Cancellation - Islamic						Documents Remarks Custo	omer Instruction
Application Details Advising Bank Reference * PK2ELIC211254508		Beneficiary ID		Beneficiary		Branch	
Process Reference Number		001044 Priority		GOODCARE PLC Submission Mode		PK2-Oracle Banking Trade Finan Cancellation Date	
PK2IELC000024250 52A Issuing Bank 001041 WELLS FARGO L	Đ	Medium 50B Non Bank Issuer	×	Desk User Reference Number PK2ELIC211254508	*	Jun 13, 2021 Beneficiary Consent Reqd	
						v	riew LC View LC Events
LC Details							
LC Type		Product Code		Product Description		Advising Bank	
Sight		ELIC		Islamic Export LC with Ad	lding Confirma		
40A - Form Of Documentary Credit		Contract Reference Number		31C - Date Of Issue		40E - Applicable Rules	
IRREVOCABLE		PK2ELIC211254508		May 5, 2021		UCP LATEST VERSION	
31D - Date Of Expiry		31D - Place Of Expiry		51A - Applicant Bank		Applicant	_
Aug 3, 2021	<b>İ</b>	ASDF				001043 MARKS AND SPI	0
32B - Currency Code, Amount		Amount In Local Currency		39A - Percentage Credit A	mount Tolerance	39C- Additional Amounts Covered	
GBP 💌	£500,000.00	GBP	£500,000.00	10 / 10			
LC Outstanding Amount							
120000						Hold Cancel	Save & Close Submi

Provide the Application Details based on the description in the following table:

Field	Description	Sample Values
Advising Bank Reference Number	Provide the advising bank reference number. Alternatively, user can search the advising bank reference number using LOV.	
	In the LOV, user can input Customer ID, Applicant, Currency, Amount and User Reference to fetch the Export LC details. Based on the search result, select the applicable Export LC to be amended.	
Beneficiary ID	Read only field.	001344
	Beneficiary ID will be auto-populated based on the selected LC from the look-up.	
Beneficiary	Read only field.	EMR & CO
	Beneficiary Name will be auto-populated based on the selected LC from the look-up.	
Branch	Read only field.	203-Bank
	Branch details will be auto-populated based on the selected LC from the look-up.	Futura -Branch FZ1
Process Reference	Unique sequence number for the transaction.	
Number	This is auto generated by the system based on process name and branch code.	
Priority	System will default the Priority as Low/Medium/ High based on maintenance.	High



Field	Description	Sample Values
Submission Mode	Select the submission mode of Export LC Cancellation request. By default the submission mode will have the value as 'Desk'. User can change the defaulted priority. <b>Desk-</b> Request received through Desk	Desk
	Courier- Request received through Courier	
Cancellation Date	By default, the application will display branch's current date and enables the user to change the date to any back date.	04/13/2018
	<b>Note</b> Future date selection is not allowed.	
Issuing Bank	Read only field.	
	Issuing Bank details will be auto-populated based on the selected LC from the look-up.	
Non Bank Issuer	Read only field.	
	Non Bank Issuer details will be auto-populated based on the selected LC from the look-up.	
User Reference Number	Read only field.	
	User Reference Number will be auto populated by the system based on the selected Export LC.	
Beneficiary Consent Required	By default, the toggle must be 'On' for Export LC Cancellation process.	

### LC Details

LC Details									
LC Type		Product Code		Product Description		Advising Bank			
Sight 👻		ELAC		Import LC Usance Non Revolving		000332	TBE BANK	Ŀ	
40A - Form Of Documentary Credit		Contract Reference Number		31C - Date Of Issue		40E - Applicable F	tules		
IRREVOCABLE		PK2ELAC211255001		May 5, 2021	<b>**</b>	UCPURR LATEST	VERSION	w.	
31D - Date Of Expiry		31D - Place Of Expiry		51A - Applicant Bank		Applicant		-	
Aug 3, 2021		Chennai				001043	MARKS AND S	iPI 🎦	
32B - Currency Code, Amount		Amount In Local Currency		39A - Percentage Credit Amount T	olerance	39C- Additional A	mounts Covere	d .	
USD 💌	\$100,000.00	GBP	£76,923.08	10 / 10					
LC Outstanding Amount									
110000						Hold	Cancel	Save & Close	Submit

#### This screen displays the data from the LC advised.

Field	Description	Sample Values
LC Type	Read only field. LC type will be populated based on selected LC.	



Field	Description	Sample Values
Product Code	Read only field. This field displays the product code of the selected LC.	
Product Description	Read only field. This field displays the description of the product as per the product code.	
Advising Bank	This field displays the advising bank details of the selected LC.	
40A - Form of Documentary Credit	Read only field. This field displays the form of documentary credit details of the selected LC.	
Contract Reference Number	Read only field. This field displays the form of contract reference number of the selected LC.	
Date of Issue	Read only field. This field displays the LC issuance date.	
Applicable Rules	This field displays the rules of the selected Export LC.	
Date Of Expiry	This field displays the expiry date of the selected LC.	
Place of Expiry	This field displays the place of expiry of the selected LC.	
Applicant Bank	Read only field. This field displays the applicant bank details of the selected LC.	
Applicant	Read only field. This field displays the details of the applicant of the selected LC.	
Currency Code, Amount	Read only field. This field displays the details of the currency/ amount of the selected LC.	
Amount In Local Currency	System fetches the local currency equivalent value for the LC amount from back office (with decimal places).	
Percentage Credit Amount Tolerance	Read only field. This field displays the details of the percentage credit amount tolerance of the selected LC.	
Additional Amount Covered	Read only field. This field displays the details of the additional amount covered of the selected LC.	



Field	Description	Sample Values
LC Outstanding Amount	Read only field. This field displays the details of the LC outstanding amount of the selected LC.	

### Miscellaneous

= ORACLE	E°					(DEFAULTENTITY)	Oracle Banking Trade Finan 🔔	ZARTAB01 subham@gmail.com
Export LC Cancellation	n - Islamic						Documents Remarks Customer	r Instruction 🦼 🗶
Application Detai	ils							
Advising Bank Reference *	*		Beneficiary ID		Beneficiary		Branch	
PK2ELIC211254508	Q		001044		GOODCARE PLC		PK2-Oracle Banking Trade Finan 🔻	
Process Reference Number	r		Priority		Submission Mode		Cancellation Date	
PK2IELC000024250			Medium	×	Desk	×	Jun 13, 2021	
52A Issuing Bank			50B Non Bank Issuer		User Reference Number		Beneficiary Consent Reqd	
001041 WELLS	FARGO L 🚺				PK2ELIC211254508		$\bigcirc$	
							View	LC View LC Events
▲ LC Details								
LC Type			Product Code		Product Description		Advising Bank	
Sight	Ψ.		ELIC		Islamic Export LC with A	dding Confirma		
40A - Form Of Documenta	ary Credit		Contract Reference Number		31C - Date Of Issue		40E - Applicable Rules	
IRREVOCABLE			PK2ELIC211254508		May 5, 2021		UCP LATEST VERSION	
31D - Date Of Expiry			31D - Place Of Expiry		51A - Applicant Bank		Applicant	
Aug 3, 2021	<b>**</b>		ASDF				001043 MARKS AND SPI	
32B - Currency Code, Amo	ount		Amount In Local Currency		39A - Percentage Credit A	Amount Tolerance	39C- Additional Amounts Covered	
GBP 💌		£500,000.00	GBP	£500,000.00	10 / 10			
LC Outstanding Amount								
120000							Hold Cancel S	ave & Close Submit

#### Provide the Miscellaneous Details based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the required documents.	
Remarks	Provide any additional information regarding the cancellation request. This information can be viewed by other users processing the request.	
Customer Instructions	Click to view/ input the following	
	• <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.	
	• <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	



Field	Description	Sample Values
View LC	Enables the user to view the latest LC values displayed in the respective fields. All fields displayed in LC details section are read only fields.	
Action Buttons		
Submit	On submit, task will get moved to next logical stage of Export LC Cancellation.	
	If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and displays the task in you queue for working later. This option will not submit the request.	
Cancel	Cancels the Export LC Cancellation Registration stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Checklist	Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not marked, system will display an error on submit.	

## **Data Enrichment**

A DE User can input new Islamic Export LC Cancel. As part of data enrichment, user will enter/ update basic details of the incoming request.



For expired line of limits, the task moves to "Limit Exception" stage under Free Tasks, on 'Submit' of DE Stage with the reason for exception as "Limit Expired".

Do the following steps to acquire a task at Data enrichment stage:



1. Using the entitled login credentials for scrutiny stage, login to the OBTFPM application.

ᅎ FuTura Bank
Sign In
User Name *
SRIDHAR
Password *
Sign In

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.

board		Draft Confirmation P	ending 📢	×	Hand-off Failure		o ×	Priority Details		¢ ×	
tenance		Customer Name	Application Date	c	Branch	Process Name	Stage Name	Branch	Process Name	Stage Name	
	•	EMR & CO	25-06-2018	G	Bank Futura	NA	Retry HandOf				
Finance		NA	25-06-2018	G				Bank Futura	NA	Amount Blo	
		NA	21-06-2018	G				Bank Futura	NA	Amount Blo	
			21-00-2010					004	NA	Loan Applic	
			-			-			-		
		High Value Transactio	ons 🤇	×	SLA Breach Deta	ails	o ×	Priority Summar	Y Cucumber Te	, © ×	
		140K			Customer Name	SLA Breached	(mins) Prior	Branch Pr	ocess Name	Stage Name	
		60K		GBP	NA	23474 H I	KEERTIV01	203 Ci	cumber Testing	test descrip	
		20К			HSBC BANK	26667 M 1	SHUBHAM				
		-20K	ICCCO.		WALL MART	23495 5	SHUBHAM				
		-2 0 2 4	6 8 10 12		EMR & CO	26780 M	GOPINATH01				
			-			-			-		
		Hold Transactions		×	SLA Status	Cucumber Testir	ıg <b>≎</b> "×	Tasks Detailed	Cucumber Testing	• Ø ×	
		Branch Process	Name Stage Na	me							

ore Maintenance										
ashboard		Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number
achine Learning		Acquire & E	Medium	Islamic Export LC Cancellat	PK2ELCC000012122	PK2ELCC000012122	DataEnrichment	22-04-03	PK2	001044
achine Learning		Acquire & E	Medium	Islamic Export Document	000IEDB000012120	000IEDB000012120	Approval Task Level 1	22-04-02	PK2	000335
ule	0	Acquire & E	Medium	Guarantee SBLC Issuance	PK2GISC000012114	PK2GISC000012114	Approval Task Level 1	22-04-02	PK2	000325
ecurity Management		Acquire & E	High	Guarantee SBLC Advised	PK2GADC000012104	PK2GADC000012104	AmountBlock Exception App	22-04-02	PK2	001044
		Acquire & E	High	Guarantee SBLC Advised	PK2GADC000012104	PK2GADC000012104	Sanction Check Exceptional		PK2	001044
ask Management		Acquire & E	High	Guarantee SBLC Advised	PK2GADC000012102	PK2GADC000012102	AmountBlock Exception App	22-04-02	PK2	001044
asks		Acquire & E	High	Guarantee SBLC Advised	PK2GADC000012102	PK2GADC000012102	Sanction Check Exceptional		PK2	001044
Awaiting Customer		Acquire & E	Medium	Guarantee Issuance	PK1GTEI000012103	PK1GTEI000012103	Handoff RetryTask	22-04-02	PK2	000322
Clarification		Acquire & E	High	Guarantee SBLC Advised	PK2GADC000012100	PK2GADC000012100	DataEnrichment	22-04-01	PK2	001044
Business Process Maintenance		Acquire & E	High	Guarantee SBLC Advised	PK2GADC000012089	PK2GADC000012089	DataEnrichment	22-04-01	PK2	001044
		Acquire & E	High	Guarantee SBLC Advised	PK2GADC000012077	PK2GADC000012077	AmountBlock Exception App	22-04-01	PK2	001044
Completed Tasks		Acquire & E	High	Guarantee SBLC Advised	PK2GADC000012077	PK2GADC000012077	Sanction Check Exceptional		PK2	001044
Free Tasks		Acquire & E	High	Guarantee SBLC Advised	PK2GADC000012079	PK2GADC000012079	KYC Exceptional approval	22-04-01	PK2	001044
Hold Tasks						0.0000000000000000000000000000000000000	a second		01/0	000005

 My Tasks
 Page
 1
 of 305
 (1 - 20 of 6088 items)
 K
 1
 2
 3
 4
 5
 ....
 305
 >
 >



4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks** tab.

enu Item Search	Q		C Refr	esh 😌	Release 😌 Escalate 🔥 D	Delegate 🕴 Flow Diagram						
ore Maintenance	•	-	Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number	
ashboard		_	Edit	Medium			PK2ELCC000012122	DataEnrichment	22-04-03	PK2	001044	
achine Learning	•			Medium	Islamic Export LC Cancellat							
de			Edit	_	Islamic Import Documentar		PK2IIDL000011111	AmountBlock Exception App	22-03-11	PK2	001044	
le	·		Edit	Medium	Islamic Export Documentar		PK2IEDU000012121	DataEnrichment	22-04-03	PK2	001044	
curity Management	•		Edit	Medium	Islamic Export Documentar	000IEDB000012120	000IEDB000012120	Approval Task Level 1	22-04-02	PK2	000335	
	100		Edit	Medium	Islamic Guarantee Advice C	PK2IGCD000011999	PK2IGCD000011999	Approval Task Level 1	22-03-31	PK2	001044	
sk Management	•		Edit	Medium	Guarantee Cancellation Isla	PK2IGCI000011961	PK2IGCI000011961	DataEnrichment	22-03-31	PK2	001044	
sks	-		Edit	Medium	Guarantee SBLC Issuance-C	PK2IGCU000011844	PK2IGCU000011844	Approval Task Level 1	22-03-29	PK2	001044	
Awaiting Customer			Edit	Medium	Guarantee Advise Internal	PK2IGIA000011779	PK2IGIA000011779	DataEnrichment	22-03-28	PK2	001044	
Clarification			Edit	Medium	Guarantee SBLC Issuance	PK2GISC000011777	PK2GISC000011777	DataEnrichment	22-03-28	PK2	000325	
Business Process			Edit		Guarantee Advise Internal	PK2IGIA000011776	PK2IGIA000011776	Registration	22-03-28	PK2	001044	
Maintenance			Edit	Medium	Islamic ExportLC Amendme	PK2IETB000011585	PK2IETB000011585	DataEnrichment	22-03-23	PK2	001204	
Completed Tasks			Edit	Medium	Islamic ExportLC Amendme	PK2IETB000011582	PK2IETB000011582	DataEnrichment	22-03-22	PK2	001204	
Free Tasks			Edit	High	Guarantee SBLC Advised	PK2GADC000011460	PK2GADC000011460	Approval Task Level 1	22-03-19	PK2	001044	
		0	a. 11.	Medium		AUX 275 - 0000 - 1000						

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to provide input for data enrichment stage.

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ore Maintenance	•	_	C Kell		Release Scalate A D	elegate 🕴 How Diagram						
ashboard			Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number	ļ
lachine Learning			Edit	Medium	Islamic Export LC Cancellat	PK2ELCC000012122	PK2ELCC000012122	DataEnrichment	22-04-03	PK2	001044	
achine Leanning			Edit	Medium	Islamic Import Documentar	PK2IIDL000011111	PK2IIDL000011111	AmountBlock Exception App	22-03-11	PK2	001044	
Jle	•		Edit	Medium	Islamic Export Documentar	PK2IEDU000012121	PK2IEDU000012121	DataEnrichment	22-04-03	PK2	001044	
curity Management	•		Edit	Medium	Islamic Export Documentar	000IEDB000012120	000IEDB000012120	Approval Task Level 1	22-04-02	PK2	000335	
			Edit	Medium	Islamic Guarantee Advice C	PK2IGCD000011999	PK2IGCD000011999	Approval Task Level 1	22-03-31	PK2	001044	
sk Management	•		Edit	Medium	Guarantee Cancellation Isla	PK2IGCI000011961	PK2IGCI000011961	DataEnrichment	22-03-31	PK2	001044	
sks	-		Edit	Medium	Guarantee SBLC Issuance-C	PK2IGCU000011844	PK2IGCU000011844	Approval Task Level 1	22-03-29	PK2	001044	
Awaiting Customer			Edit	Medium	Guarantee Advise Internal	PK2IGIA000011779	PK2IGIA000011779	DataEnrichment	22-03-28	PK2	001044	
Clarification			Edit	Medium	Guarantee SBLC Issuance	PK2GISC000011777	PK2GISC000011777	DataEnrichment	22-03-28	PK2	000325	
Business Process Maintenance			Edit		Guarantee Advise Internal	PK2IGIA000011776	PK2IGIA000011776	Registration	22-03-28	PK2	001044	
			Edit	Medium	Islamic ExportLC Amendme	PK2IETB000011585	PK2IETB000011585	DataEnrichment	22-03-23	PK2	001204	
Completed Tasks			Edit	Medium	Islamic ExportLC Amendme	PK2IETB000011582	PK2IETB000011582	DataEnrichment	22-03-22	PK2	001204	
Free Tasks			Edit	High	Guarantee SBLC Advised	PK2GADC000011460	PK2GADC000011460	Approval Task Level 1	22-03-19	PK2	001044	
		-	a. 10.	Medium						aura		

The Data Enrichment stage has six sections as follows:

- Main Details
- Availability & Shipment
- Documents & Conditions
- Payment Details
- Details
- Additional Fields
- Additional Details
- Summary

Let's look at the details for Data Enrichment stage. User must be able to enter/update the following fields. Some of the fields that are already having value from Scrutiny/Online channels may not be editable.

#### **Main Details**

Main details section has three sub section as follows:

- Application Details
- LC Details



#### **Application Details**

All fields displayed under Application details section, would be read only except for the **Priority** and **Cancel LC**. Refer to Application Details for more information of the fields.

				EFAULTENTITY)	Oracle Banking Trade Finan 🔔	ZARTAB01 subham@gmail.com
Islamic Export LC Cance DataEnrichment :: App	ellation lication No:- PK2IELC000024250	Documents Remarks Overrides	Customer Instruction View LC			,* ×
Main Details	Main Details					Screen ( 1 / 7)
Payment Details	Application Details					
Additional Fields	Advising Bank Reference *	Beneficiary ID	Beneficiary		Branch	
Advices	PK2ELIC211254508	001044	GOODCARE PLC		PK2-Oracle Banking Trade Finan	-
Additional Details	Process Reference Number	Priority	Submission Mode		Cancellation Date	
Settlement Details	PK2IELC000024250	Medium	▼ Desk	w.	Jun 13, 2021	tit)
	52A Issuing Bank	50B Non Bank Issuer	User Reference Numb	ber	Beneficiary Consent Reqd	
Summary	001041 WELLS FARGO L		PK2ELIC211254508		$\bigcirc$	
	▲ LC Details LC Type Sight ▼	Product Code ELIC	Product Description Islamic Export LC wit	th Adding Confirma	Advising Bank	
	40A - Form Of Documentary Credit	Contract Reference Number	31C - Date Of Issue		40E - Applicable Rules	
	IRREVOCABLE	PK2ELIC211254508	May 5, 2021	<u>iiii</u>	UCP LATEST VERSION	
	31D - Date Of Expiry	31D - Place Of Expiry	51A - Applicant Bank		Applicant	-
	Aug 3, 2021	ASDF			001043 MARKS AND SP	
	32B - Currency Code, Amount	Amount In Local Currency	39A - Percentage Cre	edit Amount Tolerance	39C- Additional Amounts Covered	
	GBP 💌	GBP	£500,000.00 10 / 10			
	£500,000.00					
	LC Outstanding Amount 120000					
Audit				Reject Refer	Hold Cancel Save & Close	Back Next

#### LC Details

The fields listed under this section are same as the fields listed under the LC Details section in Registration. Refer to LC Details for more information of the fields. During registration, if user has not captured input, then user can capture the details in this section.

LC Details			
LC Type	Product Code	Product Description	Advising Bank
Sight 💌	ELAC	Import LC Usance Non Revolving	000332 TBE BANK
40A - Form Of Documentary Credit	Contract Reference Number	31C - Date Of Issue	40E - Applicable Rules
IRREVOCABLE	PK2ELAC211255001	May 5, 2021	UCPURR LATEST VERSION
31D - Date Of Expiry	31D - Place Of Expiry	51A - Applicant Bank	Applicant
Aug 3, 2021	Chennai		001043 MARKS AND SPI
32B - Currency Code, Amount	Amount In Local Currency	39A - Percentage Credit Amount Tolerance	39C- Additional Amounts Covered
USD 🔻	GBP £76,9	23.08 10 / 10	
\$100,000.00			
LC Outstanding Amount			
110000			
		Reject Refer Hold	d Cancel Save & Close Back Next

Following are the fields which can be amended apart from the fields carried over from LC Details of Registration. Provide the details for the amendable fields based on the description in the following table:

Field	Description	Sample Values
Applicable Rules	This field displays the rules of the selected Export LC and user can amend if required.	
Date of Expiry	This field displays the expiry date of the selected <b>Export</b> LC and user can amend if required.	
Place of Expiry	This field displays the place of expiry of the selected Export LC and user can amend if required.	



.

Field	Description	Sample Values
Currency/Amount	This field displays the value of LC along with the currency details of the selected Export LC.	
Percentage Credit Amount Tolerance	This field displays the percentage credit amount tolerance details of the selected Export LC.	
Additional Amounts Covered	This field displays the details of additional amount covered of the selected LC and user can amend if required.	

#### **Action Buttons**

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the required documents.	
	Application displays mandatory documents to be uploaded for cancellation. Place holders are also available to upload additional documents submitted by the applicant.	
	The user can view and input/view application details simultaneously.	
	When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.	
Remarks	Provide any additional information regarding the LC. This information can be viewed by other users processing the request.	
Overrides	Click to view the overrides accepted by the user.	
Customer Instructions	Click to view/ input the following	
	<ul> <li>Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of</li> </ul>	
	transaction processing. This section will be enabled only for customer initiated transactions.	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
View LC	Enables the user to view the latest LC values displayed in the respective fields.	



Field	Description	Sample Values
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.	
Cancel	Cancel the Scrutiny stage inputs.	
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Reject	<ul> <li>On click of Reject, user must select a Reject Reason from a list displayed by the system.</li> <li>Reject Codes: <ul> <li>R1- Documents missing</li> <li>R2- Signature Missing</li> <li>R3- Input Error</li> <li>R4- Insufficient Balance/Limits</li> <li>R5 - Others.</li> </ul> </li> <li>Select a Reject code and give a Reject Description.</li> <li>This reject reason will be available in the remarks window throughout the process.</li> </ul>	
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	



### **Payment Details**

A DE User can verify the Payment details data segment of Islamic Export LC Cancel request.

$\equiv$ ORACLE <sup>°</sup>	Free Tasks		( DEFAULTENTITY)	(PK2) May 6, 2019		subl	SRIDHAR02 nam@gmail.com
Islamic Export LC Cancell	ation - DataEnrichment :: Application No: PK2ELCC00	0062800			🔪 🕫 🖓 🕫 Overri	des 🛛 🕪 View LC	$_{\mu^{\ell}}$ ×
Main Details	Payment Details					5	Screen ( 2 / 7)
Payment Details	✓ Payment Details						
Additional Fields	49G-Special Payment conditions for beneficiary	49H-Special Payment conditions for receiving bar	nk 48-Period for Presentation	49-C	Confirmation Instructio	ns *	
Advices				WIT	THOUT	Υ.	
Additional Details	Partial Confirmation Allowed	Confirmation %	Confirmation Amount	To be	e confirmed by Advisir	ig Bank	
Settlement Details		0	GBP 🔻 £0.00				
Summary	58A - Requested Confirmation Party	53A - Reimbursing Bank	57A-Advise Through Bank	78-Ir	nstructions to P/A/N B	ank	
					Q		
	72-Sender to Receiver Information	71 D Charges	71N - Amendment charges payable by				
	۹ 🕒		v.				
	✓ Information to Issuing Bank						
	72-Sender to Receiver Information	79 Z Narrative	Issuing Bank Account No	Char	rges to be Claimed		
	۹ 🕑	۹ 🕑	Q,		*		
	71 D Charges	Issuing Bank Date	57a - Account with Bank				
		<b>**</b>	۹ 🕑				
	Information to Advise Through Bank						
	72-Sender to Receiver Information						
	۹ 🖪						
Audit			Reject	Refer Hold	Cancel Save	& Close Back	Next

Provide the Payment Details based on the description in the following table:

Field	Description	Sample Values
Special Payment conditions for beneficiary	Online and Non Online Channels – If any special payment condition has to be provided to beneficiary, the details for the same must be captured in this field.	
Special Payment conditions for receiving bank	Online and Non-online channels –If any special payment condition has to be provided to receiving bank, the details for the same must be captured in this field. This field specifies special payment conditions applicable to the receiving bank without disclosure to the beneficiary, for example, post-financing request/conditions for receiving bank only.	
Period for Presentation	Online Channel – Read-only. Non Online channel – If the period of presentation is based on any event other than shipment, then you can capture the event name in text along with the number of days in number.	



Field	Description	Sample Values
Confirmation Instructions	Online Channel – Read only. Non Online Channel - Select the confirmation instruction for the LC from the available LOV	
	values – CONFIRM, MAY ADD, WITHOUT. Applicable only if field 49 - confirmation instruction is 'confirm' or 'may add'. You can search through LOV, Party type with banks should only be displayed in LOV. The system should display the	
	a) SWIFT code (if available),	
	b) Name and address of the bank	
	On selection of the record if SWIFT code is available then SWIFT code will be defaulted, if SWIFT code is not available then the bank's name and address to be defaulted.	
Partial Confirmation Allowed	Toggle On: Set the toggle 'On' to enable partial confirmation. Toggle Off: Set the toggle 'Off' to disable partial confirmation.	
	Note This field is applicable only if Confirmation Instructions is set to Confirm.	
Confirmation%	Provide the confirmation percentage.	
	<b>Note</b> This field is applicable only if <b>Confirmation Instructions</b> is set to <b>Confirm</b> and <b>Partial Confirmation</b> <b>Toggle</b> is 'On'.	
	Note This field is alternate to <b>'Confirmation</b> Amount'.	



Field	Description	Sample Values
Confirmation Amount	Provide the confirmation percentage. <b>Note</b> This field is applicable only if <b>Confirmation Instructions</b> is set to <b>Confirm</b> and <b>Partial Confirmation</b> <b>Toggle</b> is 'On'. <b>Disc</b> This field is alternate to ' <b>Confirmation</b> <b>Amount</b> '.	
To be confirmed by Advising Bank	<ul> <li>Toggle On: Set the toggle on to confirm by advising bank.</li> <li>Toggle Off: Set the toggle off for not to be confirmed by advising bank.</li> </ul>	
Requested Confirmation Party	Select the requested confirmation party from LOV. Online and Non-Online Channels – Provide requested confirmation party details. <b>Note</b> This field is applicable only for LC Type - Confirmed LC.	
Reimbursing Bank	<ul> <li>If reimbursing bank is applicable user must update the field.</li> <li>Online Channel - Update the details received.</li> <li>Non online channel - Search through LOV. Party type with banks will be displayed in LOV.</li> <li>SWIFT code (if available),</li> <li>Name and address of the bank</li> <li>On selection of the record if SWIFT code is available, then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address to be defaulted.</li> </ul>	



Field	Description	Sample Values
Advise Through Bank	Online Channel – User can update the details received.	
	Non Online Channel -	
	Search through LOV. Party type with banks must be displayed in LOV.	
	SWIFT code (if available)	
	<ul> <li>Name and address of the bank</li> </ul>	
	On selection of the record if SWIFT code is available, then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address to be defaulted.	
	Note In case the selected Bank is not RMA Compliant, the system displays error message "RMA arrangement not available".	
Instructions to P/A/N Bank	Online Channel- User can update details received.	
	Non online channel – Provide the details in this field.	
Sender to Receiver Information	Online Channel – User can update details received.	
	Non Online Channel – Provide details (FFT).	
Charges	Online Channel – User can update details received.	
	Non Online Channel – Provide details (FFT).	
Amendment charges payable by	Read only field.	

#### Information to Issuing Bank

Provide MT730 - Information to Issuing Bank details based on the description in the following table:

	5	9
Field	Description	Sample Values
Sender to Receiver Information	Select a FFT to provide the additional information to receiver.	
Narrative	Select a FFT to provide the additional information from the advising bank to the issuing bank.	
Issuing Bank Account No	Select the issuing bank account number from the LOV.	
Charges to be Claimed	Select the FFT from the LOV for the charges to be claimed.	



Field	Description	Sample Values
Charges	Provide the charge details for advising.	
Issuing Bank Date	Select the issuing bank date.	
Account with Bank	Select the account to which the charges needs to be paid.	

#### Information to Advise Through Bank

Provide Information to Advise Through Bank details based on the description in the following table:

Field	Description	Sample Values
Sender to Receiver Information	Select a FFT to provide the additional information to receiver.	

#### **Action Buttons**

Use action buttons based on the description in the following table:

	, o	
Field	Description	Sample Values
Documents	Upload the required documents.	
Remarks	Provide any additional information regarding the LC. This information can be viewed by other users processing the request.	
View LC	Enables the user to view the latest LC values displayed in the respective fields.	
Overrides	Click to view the overrides accepted by the user.	
Save & Close	Save the informations provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel Scrutiny stage inputs and return to dashboard.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	



Field	Description	Sample Values
Reject	<ul> <li>On click of Reject, user must select a reject reason from a list displayed by the system.</li> <li>Reject Codes: <ul> <li>R1- Documents missing</li> <li>R2- Signature Missing</li> <li>R3- Input Error</li> <li>R4- Insufficient Balance/Limits</li> <li>R5 - Others.</li> </ul> </li> <li>Select a reject code and give a reject description.</li> <li>This reject reason will be available in the remarks window throughout the process.</li> </ul>	
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	



### Additional Fields

Samic Export LC Cancelleito - DataEnrichment :: Application No: PKZELCC000062800

Main Details

Payment Details

Additional Fields

Additional Fields

Kadditional fields configured!

Control

Ker Hod Cance See Close Back Not

#### Banks can configure these additional fields during implementation.

#### Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the required documents.	
Remarks	Provide any additional information regarding the LC. This information can be viewed by other users processing the request.	
View LC	Enables the user to view the latest LC values displayed in the respective fields.	
Overrides	Click to view the overrides accepted by the user.	
Save & Close	Save the informations provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel Scrutiny stage inputs and return to dashboard.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	



Field	Description	Sample Values
Reject	On click of Reject, user must select a reject reason from a list displayed by the system. Reject Codes: R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others. Select a reject code and give a reject description.	
	This reject reason will be available in the remarks window throughout the process.	
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

### Advices

This section defaults the advices maintained for the product/event simulated from the advices maintained at the Product level. The user can verify the advices details Data Segment of the Islamic Export LC Cancel request.

Main Details	Advices				Screen ( 4 /
Payment Details	Advice : LC_CANCEL_ADV	Advice : PAYMENT_MESS	:		
Additional Fields	Advice Name: LC_CANCEL_ADV	Advice Name: PAYMENT_MESSAGE			
	Advice Party : BEN	Advice Party :			
Additional Details	Party Name : GOODCARE PLC Suppress : NO	Party Name : Suppress :NO			
Settlement Details	Advice	Advice			
Summary					



The user can also suppress the Advice, if required.

Advice Details					×
	Advice Name AMD_EXP_CR		Medium MAIL	Advice BEN	Party
Party ID	Party Name				
032204	Air Arabia				
▲ FFT Code					
FFT Code	FFT Description				Action
29BNKCNTACT					/ 面
<ul> <li>Instructions</li> </ul>					+
Instruction Code		Instruction Descript	ion	Edit	Action
E202		. IN REIMBURSEME	NT PLEASE TELE-REMIT THE FUNC	=	
					OK Cancel

Field	Description	Sample Values
Suppress Advice	<b>Toggle on</b> : Switch on the toggle if advice is suppressed.	
	<b>Toggle off</b> : Switch off the toggle if suppress advice is not required for the amendments	
Advice Name	User can select the instruction code as a part of free text.	
Medium	The medium of advices is defaulted from the system. User can update if required.	
Advice Party	Value be defaulted from Export LC. User can update if required.	
Party ID	Value be defaulted from Export LC Advise. User can update if required.	
Party Name	Read only field.	
	Value be defaulted from Export LC Advise.	
Free Format Text		
FTT Code	User can select the FFT code as a part of free text.	
FFT Description	FFT description is populated based on the FFT code selected.	
	Click plus icon to add new FFT code.	
+		
	Click minus icon to remove any existing FFT code.	

Instruction Details



Field	Description	Sample Values
Instruction Code	User can select the instruction code as a part of free text.	
Instruction Description	Instruction description is populated based on the FFT code selected.	
+	Click plus icon to add new instruction code.	
-	Click minus icon to remove any existing instruction code.	

#### **Action Buttons**

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the required documents.	
Remarks	Provide any additional information regarding the LC. This information can be viewed by other users processing the request.	
View LC	Enables the user to view the latest LC values displayed in the respective fields.	
Overrides	Click to view the overrides accepted by the user.	
Save & Close	Save the informations provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel Scrutiny stage inputs and return to dashboard.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	



Field	Description	Sample Values
Reject	<ul> <li>On click of Reject, user must select a reject reason from a list displayed by the system.</li> <li>Reject Codes: <ul> <li>R1- Documents missing</li> <li>R2- Signature Missing</li> <li>R3- Input Error</li> <li>R4- Insufficient Balance/Limits</li> <li>R5 - Others.</li> </ul> </li> <li>Select a reject code and give a reject description.</li> <li>This reject reason will be available in the remarks</li> </ul>	
Refer	<ul> <li>window throughout the process.</li> <li>User must select a Refer Reason from the values displayed by the system.</li> <li>Refer Codes: <ul> <li>R1- Documents missing</li> <li>R2- Signature Missing</li> <li>R3- Input Error</li> <li>R4- Insufficient Balance/Limits</li> <li>R5 - Others.</li> </ul> </li> </ul>	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

### **Additional Details**

A DE User can verify and enter the basic additional details of Islamic Export LC Cancel request.

Main Details	Additional Details				Screen ( 5 /
Payment Details	Preview Messages	Commission, Charges an	Limits and Collat	terals	
Additional Fields	Language :	Charge : GBP 50	Limit Currency	:	
Advices	Preview Advice :-	Commission :	Limit Contribution Limit Status		
Additional Details		Tax : Block Status :	Collateral Currency	: USD	
Settlement Details			Collateral Contribution	: 96800 Collateral Status	
Summary			:		



#### **Limits & Collateral**

Limit availability needs to be checked if involves increase in amount or tolerance or both. This is applicable for confirmation of the unconfirmed LC or increasing the amount or tolerance if already LC is already confirmed.

On Approval, system should not release the Earmarking against each limit line and system should handoff the "Limit Earmark Reference Number "to the back office. On successful handoff, back office will make use of these "Limit Earmark Reference Number" to release the Limit Earmark done in the mid office (OBTFPM) and should Earmark the limit from the Back office.

In case multiple Lines are applicable, Limit Earmark Reference for all lines to be passed to the back office.

Limits and (	Collaterals										×
⊿ Limit De	etails										
Customer I	ID Linkage	Type Liability	Number	Line Id/Linkage Ref N	o Line Serial	Contribution %	Contribution Currency	Contribution Amount	Limit Check Respon	se Response Mes	sage 1
No data to	display.										_
Cash Colla	lateral Details	5									
Collateral Per	rcentage *			Collateral Currency and	d amount		Exchange Ra	te			
20.0		~ ^		GBP 🔻	£220.00			~ ^			
											+
Sequence M	Number Set	tlement Account (	Currency	Settlement Account	Exchange Rate	Collateral %	Contribution Amount	Contribution Amount in A	Account Currency	Account Balance Che	ck Respons
1				PK20010440017	1	100					
▲ Deposit	t Linkage Def	tails									+
Depo	osit Account	Deposit Currency	/ Depos	it Maturity Date T	ransaction Currency	y Deposit Availa	able In Transaction Currency	Linkage Amount(Trans	action Currency)	Edit	Delete
РК20	CDP1221100002	GBP	2023-	04-20	BP	87508			£495.00	PK2CDP1221100002	1
										Save & Close	Cancel

Limit Details		×
Customer Id	Linkage Type *	
001044 Q	Facility <b>•</b>	
Contribution % *	Liability Number *	
1.0 ~ ^	PK2LIAB01 Q	
Contribution Currency	Line Id/Linkage Ref No *	
GBP	PK2L01SL1 Q	
Limit/Liability Currency	Limits Description	- 1
GBP		
Limit Check Response	Contribution Amount *	
Available	£220.00	
Expiry Date	Limit Available Amount	
<b>m</b>	£999,999,903.89	
Response Message	ELCM Reference Number	
The Earmark can be performed as the f		ļ
	Verify Save & Close	Close



#### Provide the Limit Details based on the description in the following table:

Field	Description	Sample Values
Plus Icon	Click plus icon to add new Limit Details.	

Limit Details

Click + plus icon to add new limit details.

Below fields are displayed on the Limit Details pop-up screen, if the user clicks plus icon.

Customer ID	Applicant's/Applicant Bank customer ID will get defaulted.	
Linkage Type	Select the linkage type. Linkage type can be: • Facility • Liability By default Linkage Type should be "Facility".	
Contribution%	System will default this to 100%. User can modify, if contribution is more than 100%. System will display an alert message, if modified. Once contribution % is provided, system will default the amount. System to validate that if Limit Contribution% plus Collateral% is equal to 100. If the total percentage is not equal to 100 application will display an alert message.	
Liability Number	Click <b>Search</b> to search and select the Liability Number from the look-up. The list has all the Liabilities mapped to the customer.	
Contribution Currency	The LC currency will be defaulted in this field.	



Field	Description	Sample Values
Line ID/Linkage Ref No	Click <b>Search</b> to search and select the from the various lines available and mapped under the customer id gets listed in the drop down. LINE ID- DESCRIPTION will be available for selection along with Line ID. When you click on 'verify', the system will return value if the limit check was successful or Limit not Available. If limit check fails, the outstanding limit after the transaction value will be shown in the limit outstanding amount.	
	Note User can also select expired Line ID from the lookup and on clicking the verify button, system should default "The Earmarking cannot be performed as the Line ID is Expired" in the "Response Message" field.	
	This field is disabled and read only, if <b>Linkage</b> <b>Type</b> is <b>Liability</b> .	
Line Serial	Displays the serial of the various lines available and mapped under the customer id.	
	This field appears on the Limits grid.	
Limit/ Liability Currency	Limit Currency will be defaulted in this field, when you select the <b>Liability Number</b>	
Limits Description	This field displays the limits description.	
Limit Check Response	Response can be 'Success' or 'Limit not Available' based on the limit service call response.	
Contribution Amount	Contribution amount will default based on the contribution %.	
	User can change the value.	
Expiry Date	This field displays the date up to which the Line is valid	
Limit Available Amount	This field will display the value of available limit, i.e., limit available without any earmark. The Limit Available Amount must be greater than the Contribution Amount.	
	The value in this field appears, if you click the Verify button.	
Response Message	Detailed Response message.	
-	The value in this field appears, if you click the Verify button.	
ELCM Reference Number	This field displays the ELCM reference number.	



#### **Collateral Details**

Collateral availability needs to be checked if involves increase in amount or tolerance. Provide the collateral details based on the description provided in the following table:

tal Collateral Amount *	Collateral Amount to be	Collected *	
\$67.00		\$0.00	
quence Number	Collateral Split % $^{*}$		
.0	100.0	~ ^	
ollateral Contrubution Amount *	Settlement Account $*$		
\$67.00	PK1000327018	Q	
ttlement Account Currency	Exchange Rate		
BP	1.3	~ ^	
ontribution Amount in Account Currency	Account Available Amour	nt	
£0.00	£99,	999,393,343.91	
sponse	Response Message		
S	The amount block can b	e performed as	
Verify			
	✓	Save & Close	× Cancel
Description			Sam

Field	Description	Sample Values
Cash Collateral Details		
Collateral Percentage	Specify the percentage of collateral to be linked to this transaction.	
Collateral Currency and amount	System populates the contract currency as collateral currency by default. User can modify the collateral Currency and amount.	

Click + plus icon to add new collateral details.

Below fields are displayed on the Collateral Details pop-up screen, if the user clicks plus icon.

Total Collateral Amount	Read only field.	
	This field displays the total collateral amount provided by the user.	
Collateral Amount to be	Read only field.	
Collected	This field displays the collateral amount yet to be collected as part of the collateral split.	
Sequence Number	Read only field.	
	The sequence number is auto populated with the value, generated by the system.	
Collateral Split %	Specify the collateral split% to be collected against the selected settlement account.	



Field	Description	Sample Values
Collateral Contribution Amount	Collateral contribution amount will get defaulted in this field.	
	The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified.	
Settlement Account	Select the settlement account for then collateral.	
Settlement Account Currency	Select the Settlement Account Currency.	
Exchange Rate	Read only field.	
	This field displays the exchange rate, if the settlement account currency is different from the collateral currency.	
Contribution Amount in Account Currency	Read only field. This field displays the contribution amount in the settlement account currency as defaulted by the system.	
Account Available Amount	Read only field.	
	Account available amount will be auto-populated based on the Settlement Account selection.	
Currency	The LC currency will get defaulted in this field.	
Response	Response can be 'Success' or 'Amount not Available'.	
	System populates the response on clicking the <b>Verify</b> button.	
Response Message	Detailed Response message.	
	System populates the response on clicking the <b>Verify</b> button.	
Verify	Click to verify the account balance of the Settlement Account.	
Save & Close	Click to save and close the record.	
Cancel	Click to cancel the entry.	

Collateral%

User must enter the percentage of collateral to be linked to this transaction. If the value is more than 100% system will display an alert message.



Field	Description	Sample Values
Contribution Amount	This field displays the collateral contribution amount.	
	The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified.	
Account Balance Check Response		
Delete Icon	Click minus icon to remove any existing Collateral Details.	
Edit Link	Click edit link to edit any existing Collateral Details.	

Deposit Linkage Details

In this section which the deposit linkage details should be captured. System should allow the user to Link one or more existing Deposits as a contribution to secure underlying transactions. On Submit of DE



# stage, system will create Linkage of the Deposit/modification of existing Linkage by calling Back-office system (DDA) system directly.

Deposit Linkage Details			×
Deposit Account		Deposit Branch	
PK2CDP1210860501	Q	PK2	
Deposit Available Amount		Deposit Maturity Date	
GBP 💌 £199,100.00		Mar 27, 2022	
Exchange Rate		Deposit Available In Transaction Currency	/
1		▼ 199,100.00	
Linkage Percentage % *		Linkage Amount(Transaction Currency) $^{st}$	
45.00	~ ^	GBP 💌 £45,000.00	
Field	Description	Save & O	
Field	Description		Sample Values
Plus Icon	Click plus icon to	add new Limit Details.	
Delete Icon	Click delete icon Details.	to remove any existing Limit	
Edit	Click edit link to e	edit the limit details.	
Deposit Currency	The currency will get defaulted in this field.		
Below fields are displayed icon.	on the Deposit Link	age Details pop-up screen, if the u	user clicks plus
Deposit Account		of the customer should be ′ search. User should be able posit for linkage.	
Deposit Branch	Branch will be an Deposit Account	uto populated based on the selection.	
Deposit Available Amount		d amount will be auto- I on the Deposit Account	



Maturity Date of Deposit to be displayed.

Deposit Maturity Date

Field	Description	Sample Values
Exchange Rate	Latest Exchange Rate for deposit linkage should be displayed. This will be picked up from the exchange rate maintenance from the common core.	
Deposit Available In Transaction Currency	This field displays the deposit amount available, after exchange rate conversion, if applicable.	
Linkage Percentage %	Specify the value for linkage percentage.	
Linkage Amount (Transaction Currency)	System to default the transaction amount user can change the value.	

#### **Charge Details**

On click of 'Next' in the previous screen, system will auto populate the charges, commission and tax components mapped to the product from the back office system.

If default charges are available under the product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.

Commission,Charges a	nd Taxes													:
Recalculate Redefa	ult													
# Commission Details														
Event														
Event Description														
Component	Rate	Modified Rate		Currency	Amount	Modified	Defer	v	Vaive	Charge P	arty	Settlement Account		
No data to display.														
Page 1 (0 of 0 items) K < 1 > >														
A Charge Details														
Component	Tag currency	Tag A	Amount	Currency	Amount	Modified	Billing	Defe	r Waiv	e (	Charge Party	Settlement Acco	ount	
No data to display.														
Page 1 (0 of 0 items) K < 1 > >														
Tax Details														
Component	Туре		Value Date		Currency	Am	ount	Billin	ng	Defer	Settleme	nt Account		
No data to display.														
													Save & Close	Cancel

#### Provide the Commission Details based on the description provided in the following table:

Field	Description	Sample Values
Event	Read only field. This field displays the event name.	
Event Description	Read only field. This field displays the description of the event.	
Component	Select the commission component	



Field	Description	Sample Values
Rate	<ul> <li>Defaults from product.</li> <li>The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate.</li> <li>If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.</li> </ul>	
Modified Rate	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Currency	Defaults the currency in which the commission needs to be collected	
Amount	<ul> <li>An amount that is maintained under the product code defaults in this field.</li> <li>The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate, but not the commission amount directly. The amount gets modified based on the rate changed and the new amount is calculated in back office based on the new rate and is populated in OBTFPM.</li> <li>If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.</li> </ul>	
Modified	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Defer	Select the check box, if charges/commissions has to be deferred and collected at any future step.	
Waive	Select the check box to waive charges/ commission. Based on the customer maintenance, the charges/commission can be marked for Billing or Defer. If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.	
Charge Party	Charge party will be 'Beneficiary' by Default. You can change the value to Applicant	
Settlement Account	Details of the Settlement Account.	



#### **Charge Details**

Field	Description	Sample Values
Component	Charge Component type.	
Tag Currency	Defaults the tag currency in which the charges have to be collected.	
Tag Amount	Tag amount that is maintained under the product code.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Modified	From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.	
Billing	If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.	
	On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTFPM.	
	The user can not select/de-select the check box if it is de-selected by default.	
	This field is disabled, if 'Defer' toggle is enabled.	
Defer	If charges have to be deferred and collected at any future step, this check box has to be selected.	
	On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM.	
	The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Waive	If charges have to be waived, this check box has to be selected.	
	Based on the customer maintenance, the charges should be marked for Billing or for Defer.	
	This field is disabled, if 'Defer' toggle is enabled.	
Charge Party	Charge party will be beneficiary by default. You can change the value to applicant.	
Settlement Account	Details of the settlement account.	



#### Tax Details

The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

Field	Description	Sample Values
Component	Tax Component type	
Туре	This field displays the type of tax Component.	
Value Date	This field displays the value date of tax component.	
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Billing	If taxes are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.	
	This field is disabled, if 'Defer' toggle is enabled.	
Defer	If taxes have to be deferred and collected at any future step, this option has to be enabled.	
	The user can enable/disable the option the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Settlement Account	Details of the settlement account.	

Tax details are defaulted from the back-end system.

#### **Settlement Details**

A DE User can verify and enter the basic settlement details of Islamic Export LC Cancel request.

Current Event Settlement Det	tails									
Settlement Det	tails									
omponent	Currency	Debit/Credit	Account	Account Description	Account Currency	Netting Indicator	Current Event	Original Exchange Rate	Exchange Rate	Deal Reference N
ILSR_COM1_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No			
ILSR_COM1_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No			
ILSR_COM1_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No			
ILSR_COMM_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No			
ILSR_COMM_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No			
ILSR_COMM_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No			
PCHCLASS_LIQD	GBP	Debit	152110003	Domestic Export Sig	GBP	No	No			
PCHCLASS_LIQD	GBP	Debit	152110003	Domestic Export Sig	GBP	No	No			
PCHCLASS_LIQD	GBP	Debit	152110003	Domestic Export Sig	GBP	No	No			
RC1_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No			
	ILSR_COM1_LIQD ILSR_COM1_LIQD ILSR_COM1_LIQD ILSR_COMM_LIQD ILSR_COMM_LIQD ILSR_COMM_LIQD PCHCLASS_LIQD PCHCLASS_LIQD PCHCLASS_LIQD	LISR_COM1_LIQD GBP LISR_COM1_LIQD GBP LISR_COM1_LIQD GBP LISR_COMM_LIQD GBP LISR_COMM_LIQD GBP LISR_COMM_LIQD GBP PCHCLASS_LIQD GBP PCHCLASS_LIQD GBP PCHCLASS_LIQD GBP	LISR.COM1_LIQD GBP Debit LISR.COM1_LIQD GBP Debit LISR.COM1_LIQD GBP Debit LISR.COM1_LIQD GBP Debit LISR.COM1_LIQD GBP Debit LISR.COM1_LIQD GBP Debit PCHCLASS_LIQD GBP Debit PCHCLASS_LIQD GBP Debit PCHCLASS_LIQD GBP Debit	LISR. COMI_LIQD         GBP         Debit         PK200104/0017           PCHCLASS_LIQD         GBP         Debit         152110003           PCHCLASS_LIQD         GBP         Debit         15211003           PCHCLASS_LIQD         GBP         Debit         15211003	LISR, COM1_LIQD         GBP         Debit         PK20010440017         GODDCARE PLC           LISR, COM1,LIQD         GBP         Debit         PK20010440017         GODDCARE PLC           LISR, COM1,LIQD         GBP         Debit         PK20010440017         GODCARE PLC           PCHCLASS,LIQD         GBP         Debit         PK20010440017         GODCARE PLC           PCHCLASS,LIQD         GBP         Debit         152110003         Domestic Export Sig           PCHCLASS,LIQD         GBP         Debit         15211003         Domestic Export Sig	LISR. COMI_LIQD         GBP         Debit         PK20010440017         GOODCARE PLC         GBP           LISR. COMI_LIQD         GBP         Debit         TS2110003         Domestic Export Sig         GBP           PCHCLASS_LIQD         GBP         Debit	LISR. COMI_LIQD         GBP         Debit         PK200104/0017         GOODCARE PLC         GBP         No           LISR. COMI_LIQD         GBP         Debit         PK200104/0017         GOODCARE PLC         GBP         No           LISR. COMI_LIQD         GBP         Debit         PK200104/0017         GOODCARE PLC         GBP         No           LISR. COMI_LIQD         GBP         Debit         PK200104/0017         GOODCARE PLC         GBP         No           LISR. COMI_LIQD         GBP         Debit         PK200104/0017         GOODCARE PLC         GBP         No           LISR. COMI_LIQD         GBP         Debit         PK200104/0017         GOODCARE PLC         GBP         No           LISR. COMI_LIQD         GBP         Debit         PK200104/0017         GOODCARE PLC         GBP         No           LISR. COMI_LIQD         GBP         Debit         PK200104/0017         GOODCARE PLC         GBP         No           LISR. COMI_LIQD         GBP         Debit         PK200104/0017         GOODCARE PLC         GBP         No           LISR. COMI_LIQD         GBP         Debit         TS110003         Domestic Export Sig         GBP         No           PCHCLASS_LIQD         GBP	LSR_COM1_LIQD         GBP         Debit         PK200104/007         GODCARE PLC         GBP         No         No           LSR_COM1_LIQD         GBP         Debit         PK200104/007         GODCARE PLC         GBP         No         No           LSR_COM1_LIQD         GBP         Debit         PK200104/007         GODCARE PLC         GBP         No         No           LSR_COM1_LIQD         GBP         Debit         PK200104/007         GODCARE PLC         GBP         No         No           LSR_COM1_LIQD         GBP         Debit         PK200104/007         GODCARE PLC         GBP         No         No           LSR_COM1_LIQD         GBP         Debit         PK200104/007         GODCARE PLC         GBP         No         No           LSR_COM1_LIQD         GBP         Debit         PK200104/007         GODCARE PLC         GBP         No         No           LSR_COM1_LIQD         GBP         Debit         PK200104/007         GODCARE PLC         GBP         No         No           LSR_COM1_LIQD         GBP         Debit         PK200104/007         GODCARE PLC         GBP         No         No           LSR_COM1_LIQD         GBP         Debit         S2110003	LSR_COM1_LIQD         GBP         Debit         PK2001040017         GOODCARE PLC         GBP         No         No           LSR_COM1_LIQD         GBP         Debit         PK2001040017         GOODCARE PLC         GBP         No         No         No           LSR_COM1_LIQD         GBP         Debit         PK2001040017         GOODCARE PLC         GBP         No         No         No           LSR_COM1_LIQD         GBP         Debit         PK2001040017         GOODCARE PLC         GBP         No         No         No           LSR_COM1_LIQD         GBP         Debit         PK2001040017         GOODCARE PLC         GBP         No         No         No           LSR_COM1_LIQD         GBP         Debit         PK2001040017         GOODCARE PLC         GBP         No         No         No           LSR_COM1_LIQD         GBP         Debit         PK2001040017         GOODCARE PLC         GBP         No         No         No           LSR_COM1_LIQD         GBP         Debit         PK2001040017         GOODCARE PLC         GBP         No         No         No           LSR_COM1_LIQD         GBP         Debit         PK2001040017         GOODCARE PLC         GBP         No	LSR_COM1_LIQD         GBP         Debit         PK2001044001         GODCARE PLC         GBP         No         No         PC           LSR_COM1_LIQD         GBP         Debit         PK20010440017         GODCARE PLC         GBP         No         No         Image: Com1_Light Com1



Field	Description	Sample Values
Current Event	The user can select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event.	
Component	Components gets defaulted based on the product selected.	
Currency	System displays the default currency for the component.	
Debit/Credit	System displays the debit/credit indicators for the components.	
Account	System displays the account details for the components.	
Account Description	System displays the description of the selected account.	
Account Currency	System defaults the currency for all the items based on the account number.	
Netting Indicator	System defaults the applicable netting indicator.	
Current Event	System defaults the current event as Y or N.	
Original Exchange Rate	System displays the Original Exchange Rate as simulated in settlement details section from OBTF	
Exchange Rate	The exchange rate.	
Deal Reference Number	The exchange deal reference number.	

#### Summary

User can review the summary of details updated in Data Enrichment stage Export LC Cancellation - Islamic request.



As part of summary screen, user can see the summary tiles. The tiles should display a list of important fields with values.

Main Details	Summary								Screen (
Payment Details	Main Details		Payment Details		Additional Field	s	Advices		
Additional Fields	Form of LC :		Period of Present.		Click here to view		Advice 1		
dvices		: IRREVOCABLE : Desk	Confirmation Instr.	: : WITHOUT	Additional fields	:	Advice 1 Advice 2	:	
dditional Details		2019-05-06							
ettlement Details		: 2019-10-12 : CHN							
Summary									
	Preview Messages		Limits and Collate	erals	Commission, Cha	arges and Taxes	Accounting E	Details	
		: ENG : -	Limit Currency Limit Contribution Limit Status Collateral Currency Collateral Contr. Collateral Status	: USD : 1001 : Not Verified : GBP : 80.08 : Not Verified	Charge Commission Tax Block Status	: Not Availble : Not Availble : Not Availble : Not Availble	Event Account Numbe Branch	: er : :	
	Settlement Details								
	Account Number	: LCEXADV_LIQD : PK20010440 : GBP							

#### Tiles Displayed in Summary

- Main Details User can view about application details and LC details.
- Party Details User can view details like beneficiary, issuing bank etc..
- Additional Fields User can view the details of additional fields.
- Limits and Collaterals User can view limits and collateral details.
- Charges User can view charge details.
- Preview User can view the preview messages.
- Compliance User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Accounting Details User can view the accounting entries generated in back office.

#### **Action Buttons**

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the required documents. Application displays mandatory documents to be uploaded for cancellation. Place holders are also available to upload additional documents submitted by the applicant.	
Remarks	Provide any additional information regarding the LC. This information can be viewed by other users processing the request.	
View LC	Enables the user to view the latest LC values displayed in the respective fields.	
Overrides	Click to view the overrides accepted by the user.	



Field	Description	Sample Values
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Data Enrichment stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Reject	<ul> <li>On click of Reject, user must select a Reject Reason from a list displayed by the system.</li> <li>Reject Codes: <ul> <li>R1- Documents missing</li> <li>R2- Signature Missing</li> <li>R3- Input Error</li> <li>R4- Insufficient Balance/Limits</li> <li>R5 - Others.</li> </ul> </li> <li>Select a Reject code and give a Reject Description.</li> <li>This reject reason will be available in the remarks window throughout the process.</li> </ul>	
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others.	

### **Exceptions**

The Export LC Cancellation request, before it reaches the approval stage, the application will validate the Amount Block, KYC and AML. If any of these failed in validation will reach exception stage for further clearance for the exceptions.

#### **Exception - Amount Block**

As part of amount block validation, application will check if sufficient balance is available in the account to create the block. On hand-off, system will debit the blocked account to the extent of block and credit charges/ commission account in case of charges block or credit the amount in suspense account for blocks created for collateral.

The transactions that have failed amount block due to non-availability of amount in respective account will reach the amount block exception stage.



Log in into OBTFPM application, amount block exception queue. Amount block validation failed tasks for trade transactions will be listed in the queue. Open the task to view summary of important fields with values.

On Approval, system should not release the Amount Block against each applicable account and system should handoff the "Amount Block Reference Number "to the back office. On successful handoff, back office will make use of these "Amount Block

Reference Number" to release the Amount Block done in the mid office (OBTFPM) and should debit the CASA account from the Back office. If multiple accounts are applicable, Amount Block.

Reference for all accounts to be passed to the back office.

Exception is created when sufficient balance is not available for blocking the settlement account and the same can be addressed by the approver in the following ways:

Approve:

- Settlement amount will be funded (outside of this process)
- Allow account to be overdrawn during hand-off

Refer:

- Refer back to DE providing alternate settlement account to be used for block.
- Different collateral to be mapped or utilize lines in place of collateral.

Reject:

Reject the transaction due to non-availability of sufficient balance in settlement account

#### **Amount Bock Exception**

#### This section will display the amount block exception details.

Islamic Export LC Cancel	lation - /	Amo	untBlock Exception	n Approval :: Ap	plication N	o:- PK2IGAI0	00011876	Remarks	Documents	Checklist	$_{\mu^{k}}$ $\times$
Amount Block Exception	Amou	int B	lock Exception							Sc	creen ( 1 / 2)
Summary	⊿ Am	ioun	t Block Exception	Details							
	Туре		Contract Currency	Block Amount	Branch	Account	Account Currency	Block Ref No	Block Status	Block Status De	tails
	No da	ita to	display.								
							Reject	Hold Refer	Cancel Ap	prove Back	Next



#### Summary

Amount Block Exception	Summary				
Summary	Main Details	Payment Details	Additional Fields	Advices	
	Form of LC     : IRREVOCABLE       Submission Mode     : Desk       Date of Issue     : 2021-05-05       Date of Expiry     : 2021-08-03       Place of Expiry     : xevvv	Period of Present. : 21 Confirmation Instr. : WITHOUT	Click here to view : Additional fields	Advice 1 : Advice 2 :	
	Preview Messages	Limits and Collaterals	Commission,Charges and Taxes	Accounting Details	
	Language : ENG Preview Message : -	Limit Currency     :       Limit Contribution     :       Limit Status     : Not Verified       Collateral Currency     : GBP       Collateral Status     : Not Verified	Charge : Commission : Tax : Block Status : Not Initia	Event : Account Number : Branch :	

Tiles Displayed in Summary:

- Main Details User can view details about application details and LC details.
- Party Details User can view party details like beneficiary, advising bank etc.
- Limits and Collaterals User can view limits and collateral details.
- Charge Details User can view details provided for charges.

#### **Action Buttons**

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	On click of reject, user must select a Reject Reason from a list displayed by the system.	
	Reject Codes:	
	R1- Documents missing	
	R2- Signature Missing	
	R3- Input Error	
	R4- Insufficient Balance/Limits	
	• R5 - Others.	
	Select a Reject code and give a Reject Description.	
	This reject reason will be available in the remarks window throughout the process.	
Hold	The details provided will be registered and status will be on hold.	
	This option is used, if there are any pending information yet to be received from applicant.	



Field	Description	Sample Values
Refer	User will be able to refer the task back to the Data Enrichment user.User must select a Refer Reason from the values displayed by the system. Refer Codes:	
Cancel	Cancel the Export LC Cancellation Amount Block Exception check.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

# **Multi Level Approval**

A User can view the summary of details updated in multilevel approval stage of Islamic Export LC Cancel request.

Log in into OBTFPM application and open the task to see the summary tiles. The tiles should display a list of important fields with values. User must be able to drill down from summary Tiles into respective data segments to verify the details of all fields under the data segment.



The user can simulate/recalculate charge details and during calling the handoff, if handoff is failed with error the OBTFM displays the Handoff failure error during the Approval of the task.



#### Summary

Main Details		Payment Details		Additional Field	ds	Advices	
Submission Mode : Date of Issue : Date of Expiry :	IRREVOCABLE Desk 2021-05-05 2021-08-03 dfd fdgg	Period of Present. Confirmation Instr.	: : MAY ADD	Click here to view Additional fields	:	Advice 1 Advice 2	:
Preview Messages		Limits and Collate	erals	Commission,Ch	narges and Taxes	Accounting Deta	ails
Language : Preview Message :	ENG -	Limit Currency Limit Contribution Limit Status Collateral Currency Collateral Contr. Collateral Status	: : : Not Verified : GBP : : Not Verified	Charge Commission Tax Block Status	: : : Not Initia	Event Account Number Branch	: : :

Tiles Displayed in Summary:

- Main Details User can view about application details and LC details.
- Party Details User can view details like beneficiary, issuing bank etc..
- Availability User can view availability details.
- Payment User can view payment details.
- Document & Conditions User can view the details of document and conditions.
- Revolving Details User can view the revolving details.
- Additional Fields User can view the details of additional fields.
- Limits and Collaterals User can view limits and collateral details.
- Charges User can view charge details.
- Preview User can view the preview messages.
- Compliance User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.



#### **Action Buttons**

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.	
	Reject Codes:	
	<ul> <li>R1- Documents missing</li> </ul>	
	<ul> <li>R2- Signature Missing</li> </ul>	
	R3- Input Error	
	R4- Insufficient Balance/Limits	
	• R5 - Others.	
	Select a Reject code and give a Reject Description.	
	This reject reason will be available in the remarks window throughout the process.	
Hold	The details provided will be registered and status will be on hold.	
	This option is used, if there are any pending information yet to be received from applicant.	
Refer	User will be able to refer the task back to the Data Enrichment user.User must select a Refer Reason from the values displayed by the system. Refer Codes:	
	R1- Documents missing	
	<ul><li>R2- Signature Missing</li><li>R3- Input Error</li></ul>	
	<ul> <li>R4- Insufficient Balance- Limits</li> </ul>	
	<ul> <li>R5 - Others</li> </ul>	
Cancel	Cancel the approval.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.	

# **Reject Approval**

As a Reject approver, user can review a transaction rejected and waiting for reject confirmation.

Log in into OBTFPM application to view the reject approval tasks for Export LC Cancellation in queue. On opening the task, you will see summary tiles. The tiles will display a list of important fields with values.

The tile containing the screen from where the reject was triggered will be highlighted in red.



User can drill down from reject summary tiles into respective data segments to verify the details of all fields under the data segment.

#### **Application Details**

The application details data segment have values for requests received from both non-online and online channels.

#### Summary

The data captured during handling of the transaction until the stage when reject is given will be available in the summary tile. Other fields will be blank when verified from summary tile.

The data segment in which the task was rejected will have the tiles highlighted in a different colour (red).

- Main Details User can view and modify details about application details and LC details, if required.
- Party Details User can view and modify party details like beneficiary, advising bank etc., if required
- Availability and Shipment User can view and modify availability and shipment details, if required.
- Payments User can view and modify all details related to payments, if required.
- Amended Details User can view the amended details of the issued LC.
- Documents & Condition User can view and modify the documents required grid and the additional conditions grid, if required.
- Limits and Collaterals User can view and modify limits and collateral details, if required.
- Charges User can view and modify charge details, if required.
- Compliance User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

#### **Action Buttons**

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject Approve	On click of Reject Approve, the transaction is rejected.	
Reject Decline	On click of Reject Decline, the task moves back to the stage where it was rejected. User can update the reason for reject decline in remarks.	
Hold	User can put the transaction on 'Hold'. Task will remain in Pending state.	
Cancel	Cancel the Reject Approval.	



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# **Reference and Feedback**

# References

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Common Core User Guide

#### **Documentation Accessibility**

For information about Oracle's commitment to accessibility, visit the Oracle Accessibility Program website at http://www.oracle.com/pls/topic/lookup?ctx=acc&id=docacc.

# **Feedback and Support**

Oracle welcomes customers' comments and suggestions on the quality and usefulness of the document. Your feedback is important to us. If you have a query that is not covered in this user guide or if you still need assistance, please contact documentation team.

